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### THE CHEMISTRY OF DICARBONYLCYCLOPENTADIENYLIRON COMPLEXES: PROGRESS AND PROSPECTS

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### Prologue

My introduction to organo-transition metal chemistry came unexpectedly one January morning in 1952. I was then in my second year of graduate research, with R.B. Woodward, caught up in an attempted synthesis of fulvalene, a substance later prepared by Doering and Matzner [1]. Bob Woodward came into my lab and asked casually whether I had seen a recent paper in Nature [2] on a curious compound derived from the reaction of cyclopentadienylmagnesium bromide and ferric chloride. Since I had not, he proceeded to summarize this report, and concluded by drawing on the small blackboard nearby, in his characteristically mediculous architectural style, the sandwich structure now so familiar a representation of ferrocene, the two cyclopentadienyl rings shown in perspective, with delocalized electrons, and ten dashed lines from each of the carbon centers to the iron atom to represent their bonding equivalency. "There", he said "I believe this is the correct structure", then almost as an aside, "Why don't you take off a few days, make some, and let us have a look at it." And so I did. By mid-March 1952, working with Goef Wilkinson and Mark Whiting, strong evidence in support of the sandwich structure had been gathered [3a]. Shortly thereafter, as we were taking our seats before the traditional Thursday evening seminar, Bob Woodward casually suggested that I might want to examine the substance for possible aromatic character. By the next Monday, a Friedel-Crafts acetylation reaction was set up. Later that day a new, bright red crystalline substance was isolated and its infrared spectrum, showing an intense carbonyl band, was in hand. Within a few weeks, evidence for the aromatic character of the molecule was overwhelming [3b]. Suffice it to say that what had begun as a brief chemical excursion has long since become a pleasurably long journey.

### Complexes of dicarbonylcyclopentadienyliron

Some twenty years later, in the course of research concerned with the preparation of  $CpFe(CO)_2(\eta^2$ -olefin) cations, our attention was drawn to the reactions of  $\eta^1$ -allyl metal complexes with  $SO_2$ . This came about through study of the reaction of the cyclopropylmethyl complex 1 with  $SO_2$ , carried out by Warren Giering, who was

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then working with me as a postdoctoral associate. The product was clearly an organic sulfone on the basis of its IR spectrum, not the metal alkyl sulfone to be expected from a simple "insertion" reaction by analogy to similar reactions of alkylmetal complexes which had been investigated extensively by Wojcicki [4a]. We formulated the product as 2, and wrote the mechanism shown below, in which SO<sub>2</sub>

$$Cp(CO)_{2}Fe + SO_{2} - Cp(CO)_{2}Fe^{+}$$

$$Cp(CO)_{2}Fe - Cp(CO)_{2}Fe^{-}$$

$$(1)$$

$$(2)$$

similar processes should obtain with  $\eta'$ -allyl-,  $\eta'$ -propargyl- and  $\eta'$ -cyclopropyl metal complexes as well. An examination of the literature soon provided reference to the process was crucial, for it seemed apparent, in terms of such a mechanism, that bond. The formulation of the reaction in terms of an electrophile-initiated two step capable of entering these reactions. We were gratified to find that this was indeed so above, that other electrophiles, in particular carbon electrophiles, might also be seemed plausible to suppose, in terms of the stepwise, ionic mechanism shown reaction of the first two of these with sulfur dioxide and N-thionylamines, but those functioned initially as an electrophile in promoting the cleavage of a cyclopropane and we introduced the symbol Fp to signify the CpFe(CO)2 group [5]. We will use tallic residue, it became convenient to identify this group in a shorthand manner initial focus was on reactions of the ligand  $\sigma$ -bonded to the unchanging organomelyliron complex 3, yielding the crystalline cyclopentyl derivative 4. Since our primary Tetracyanoethylene, our first candidate, appeared to react instantly with the  $\eta$ -alreactions had generally been written as concerted "insertion" processes [4]. It also this symbol throughout this account.

## Cycloaddition reactions of $(\eta^1$ -allyl)Fp complexes

The reactions of Fp complexes may be conveniently considered in terms of the several general types of ligands to which the organometallic radical is bound. These are  $\sigma$ -allyl,  $\pi$ -olefin,  $\pi$ -acetylene and  $\sigma$ -alkyl ligands. A neat division in considering the chemistry of each such complex type is not always possible. For example, the cycloaddition reactions of  $(\eta^1$ -allyl)Fp complexes exemplify the characteristic nucleophilic behavior of the allyl ligand as well as the electrophilic behavior of the intermediate olefin complex.

These reactions, in which the  $(\eta^1$ -ally1)Fp complex behaves as a 1,3-carbon dipole. attracted much of our early attention [6–9]. A brief review of these early results was

given in 1974 [10], and there is consequently no need to duplicate that account here, except to summarize the salient findings so as to set them in the perspective of more recent results. At the outset, it is important to note the very important stereoelectronic role played by the Fp group, in both the reactions of the neutral  $(\eta^1$ -allyl)Fp complexes and those of the cationic Fp( $\eta^2$ -olefin) complexes. A good example of this is provided by the cycloaddition reactions of cyclic  $(\eta^1$ -allyl)Fp complexes with uncharged carbon electrophiles. Thus, the cyclopentenyl- or (cyclohexenyl)-Fp complexes 5a,b react with tosylisocyanate to give a single stereoisomeric cycloadduct 6a,b [11].

Fp—
$$(CH_2)_n$$
 + TsNCO  $(CH_2)_n$  N—Ts  $(CH_2)_n$  N—Ts  $(CH_2)_n$  N = 2;  $(6)$   $(6)$ 

Similar cycloadducts of **5a,b** were isolated from their reactions with tetracyanoethylene [7]. In all of these reactions the stereochemical outcome corresponds to a suprafacial 1,3-addition of the acceptor component to the allyl complex, the result of which is to preserve geometrical isomerism associated with a substituent at C(1) in the reactant by its relationship to the Fp group in the product. In terms of the two step mechanism, both initial electrophilic attack on the allyl complex as well as nucleophile addition to the intermediate olefin complex must therefore occur antiperiplanar to the Fe-ligand bond.

The  $(\eta^{\dagger}$ -allyl)Fp complexes are comparatively mild nucleophiles and consequently cycloaddition reactions are initiated only by fairly reactive electrophiles. A summary of some of these reactions is given in Scheme 1 for the parent complex 3.

Unanticipated evidence for the intermediacy of dipolar intermediates **8** in these cycloaddition reactions was provided by the isolation of the cations **10a,b** respectively from the reaction of  $(\eta^1$ -cyclopentenyl)Fp (9) with chlorosulfonyl isocyanate and with N-sulfonylurethane. Here, fragmentation of the dipolar intermediate competes with cyclization to a comparatively strained bicyclic system.

FP—
$$COOMe$$
 $COOMe$ 
 $COOMe$ 

### CHEME

Not surprisingly, the replacement of a carbonyl ligand in 3 by a poorer  $\pi$ -acceptor ligand results in a substantial increase in reactivity toward electrophiles. Thus, 11a is 180 times as reactive as 3 toward  $\beta$ ,  $\beta$ -dicyanostyrene, while 11b is nearly 1000 times as reactive as 3. The latter phosphite complex reacts readily with 1-ethoxy-2,2-dicyanoethylene at room temperature, while 3 is inert [12].

Much of the same form of cycloaddition reaction is manifested by analogs of 3 such as the propargyl 12, allenyl 13, cyclopropylmethyl 14 and cyclopropyl 15 complexes. Scheme 2 summarizes these conversions and the postulated dipolar intermediates associated with them. Together these constitute a family of 1,3-carbon dipoles whose cycloadducts complement those formed with  $(\eta^1$ -allyl)Fp complexes. The intermediate cation generated in the reactions of (cyclopropyl)Fp with electrophiles is unique in the group as a "carbene" type cation; the first of its class. Such cations have more recently been prepared by other means by Brookhart and by Helquist, and have been shown to function as electrophilic carbenes [13].

Among the cycloaddition reactions depicted in Scheme 2, those in which E is a 2-carbon electrophile are of particular interest, since they provide a [3+2]-cycloaddition route to cyclopentanes, complementing familiar [4+2]-cycloadditions of the Diels-Alder type. Only the most electron deficient of olefins,  $CH_2$ =  $C(COOR)_2$ ,  $ArCH=C(CN)_2$ ,  $(CN)_2C=C(CN)_2$ , appear to be capable of initiating cycloadditions with  $(\eta^1$ -allyl)Fp and its congeners. The more reactive phosphine and phosphite derivatives of these, such as 11 have not been examined as yet. Nevertheless, even cyclohexenone has been found to initiate cyclopentaannulation with 3 and with  $(\eta^1$ -butynyl)Fp in the presence of AlBr<sub>3</sub> as catalyst to give the *cis*-hy-

drindanones 12 and 13. However, 3-methylcyclohexenone does not react with 3 under these conditions.

SCHEME 2

The readily accessible 2-carbethoxycycloalkenones undergo uncatalyzed cycloaddition with 3 in moderate yield, and the hydroindanone product 13b, has been

$$\begin{array}{c|c}
CO_2Et & OCO_2Et \\
C(H_2)_n & CO_2Et \\
\hline
(CH_2)_n & CO_2Et \\
\hline
(A, n = 2; B, n = 3; C, n = 4)
\end{array}$$

converted into a mixture of stereoisomeric methyl esters on oxidation with Ce<sup>IV</sup> in methanol solution [14].

A variant of these cycloaddition reactions, in which the dipolarophile is itself an organometallic complex, 14, provides a facile entry into the hydroazulene ring system [15].

Fp + 
$$\bigoplus_{Fe(CO)_3}$$
 Fp Fp  $\bigoplus_{Fe(CO)_3}$  Fp  $\bigoplus_{Fe(CO)_4}$  Fp  $\bigoplus_$ 

These reactions have more recently been extended to oxygenated tropylium salts 15a,b, which notwithstanding the fluxional character of these complex cations, react with 3 and its congeners to give a single product 16,17. These may serve as useful

starting materials for the synthesis of guaianolides and pseudoguaianolides [16,17], a point which remains to be examined.

# Reactions of $(\eta^1$ -allyl)Fp and related complexes with electrophiles

Like allyl-silanes [18] and -stannanes [19],  $(\eta^1$ -allyl)Fp complexes react with a range of charged electrophiles. The stability of  $Fp(\eta^2$ -olefin) cations allows the cationic products of these reactions to be isolated, an outcome not possible with allylsilanes or allylstannanes. Moreover, protons allylic to the coordinated olefin are highly acidified, making it possible to remove them with a base as weak as a tertiary amine. The overall sequence provides a general method for elaboration and functionalization of simple  $(\eta^1$ -allyl)Fp complexes, and hence a route to the preparation of more highly functionalized cycloaddition products [20].

Some straightforward synthetic applications of the electrophilic substitution reactions are exemplified by the synthesis of lavandulol 18 and the red scale pheromone 19 [21].

The first synthesis proceeds through an initial alkylation, deprotonation sequence of  $(\eta^1$ -isobutenyl)Fp. It is of interest to note that the alkylation of the substituted

 $(\eta'$ -allyl)Fp complex 20 may be achieved with as mild an electrophilic reactant as an allylic iodide. The intermediate olefin complex is demetallated in the course of the condensation by the iodide released.

The starting material employed in the red scale pheromone synthesis was prepared from the commercially available diene 21 by selective halogenation following the method of Wolinsky [22]. This reaction illustrates an important distinction

between the behavior of allyl-silanes or -stannanes and ( $\eta^{\dagger}$ -allyl)Fp complexes. Because of the weaker metal-carbon bond in Fp complexes, sigmatropic rearrangement to the more stable allyl complex takes place rapidly [23]. These reactions have been shown to proceed not intramolecularly but by a radical chain mechanism in which the chain carrying species is the relatively stable Fp radical [24].

Similar rearrangements of propargylic and allenic complexes which may also involve radical intermediates have also been observed, but no evidence on this point is available. The thermodynamic balance between these isomeric complexes is apparently a sensitive function of ligand substituents, as evidenced by the following reactions.

FpNa + 
$$\bigcap_{CTS}$$
  $\bigcap_{CTS}$   $\bigcap_{CTS}$ 

Although not observed in the NMR spectrum, the thermodynamically less stable isomeric Fp complex may nevertheless be present in equilibrium with the major tautomer. Evidence on this point has recently been obtained in examining the reactions of **22a,b** with arylzinc chloride. The products **23a,b**, which form slowly, have been shown through deuterium labelling studies to be formed through  $S_N 2'$  attack on the isomeric  $(\eta^1$ -allyl)Fp complex [25].

Fp( $\eta^2$ -olefin)BF<sub>4</sub> salts are readily accessible by a number of routes. These are

summarized below in generalized terms for a cyclic olefin (Scheme 3).

The first of these, shown in sequence (i), is based on the early work of Green [26], and provides a general route to acyclic and cyclic ( $\eta^1$ -allyl)Fp complexes from allyl bromides, chlorides or tosylates. These, on protonation, yield cationic olefin complexes. This latter reaction is reversible, and deprotonation may generally be achieved by a tertiary amine base [8,11]. The deprotonation step is also highly stereoselective and proceeds by preferential removal of an allylic proton *trans* to the

CHEME

as a synthetic route to  $Fp(\eta^2$ -olefin) complexes.  $Fp(\eta^2$ -isobutylene)BF<sub>4</sub> (24), preential removal of the trans-hydride. This reaction has been less extensively examined sequence (ii), also appears to be highly stereoselective, proceeding through prefer cyclic olefins. Olefins substituted by electron-withdrawing groups are not good carrying out the thermal decompostion of 24 in the presence of an acceptor olefin namically unstable complex. Good advantage may be taken of this property by pared by metallation of isobutenyl chloride followed by protonation, is a thermodyprotonated at low temperature to give these olefin complexes (sequence (iv)) [28,11] strongly nucleophilic reagent NaFp. The resulting ( $\beta$ -oxidoalkyl) Fp complex is then plexes consequently exhibit low thermal stability. They can, however, be prepared minished by conjugation with the electron-withdrawing group. These olefin com which is the principal contributor to bonding in  $Fp(\eta^2$ -olefin) complexes, is dipartners in the exchange reactions, since the donor property of the olefin  $\pi$ -orbital be a highly convenient method for the synthesis of Fp(olefin) complexes. It is, methylene chloride (2-3 h) or 1,2-dichloroethane (65°C, 20-30 min), has proven to Fp-olefin bond. eta-Hydride abstraction, from alkyl Fp complexes [27], shown in the exchange. These include mono- and cis-disubstituted acyclic olefins as well as however, clearly confined to those complexes which are stable under conditions of This exchange complexation, sequence (iii), which can be carried out in refluxing from the epoxide of the  $\alpha, \beta$ -unsaturated carbonyl compound, by treatment with the

Since Fp(olefin) cations are generally decomposed by brief treatment with sodium iodide in acetone solution at room temperature, the overall sequence is an effective one for the reduction of epoxides. Moreover, both epoxide opening and Fp assisted loss of water from the intermediate hydronium salt occur with high *trans*-stereo specificity, so that the overall stereochemical result is retention of configuration Other reducible functional groups such as aldehydes and esters are unaffected.

If instead of being protonated, the oxido complex 25 is thermally decomposed in refluxing THF solution or by heating neat in vacuo, the olefin with inverted stereochemistry is produced. The reaction is highly stereospecific for dialkyl and diaryl epoxides, but is less effective for the preparation of  $cis-\alpha,\beta$ -unsaturated esters which are readily isomerized [29]. The mechanism of this reaction has not been examined, but it is known that ( $\beta$ -oxidoalkyl)Fp complexes exist in equilibrium with the ring tautomers such as 26 [30], and the latter may be intermediates in the thermal reaction. Indeed, such carbenoid complexes were subsequently trapped by alkylation with trimethyloxonium salts [30,31]. Complex 27, which is formed from cyclohexene

epoxide as a single diastereomer [31], is converted into 28 on treatment with trimethyloxonium tetrafluoroborate. Protonation of this latter substance in the presence of ethylene yielded 29, the first stable mononuclear metal complex with

both carbene and olefin ligands [32]. Such complexes are presumed to be intermediates in olefin metathesis reactions.

Although the sodium salt of Fp<sup>-</sup>, conveniently prepared in THF solution by reduction of Fp with sodium amalgam, has been the most commonly used form of this powerful organometallic nucleophile, the importance of the alkali metal cation, especially in reactions of epoxides is evident. LiFp opens epoxides with considerably greater ease than NaFp, as a consequence, no doubt, of lithium coordination with the epoxide oxygen [33]. For example, a 20-fold excess of cyclohexene epoxide reacts with NaFp within 4 h at room temperature, but the same reaction is complete with LiFp within 2 min.

The oxophilic character of lithium cations is undoubtedly also important in promoting a rearrangement which occurs in the opening of certain cyclic epoxides with FpLi but not FpNa [34]. We observed that when cyclopentene epoxide was treated with FpLi and the product immediately acidified, the expected olefin salt was formed. But, if these solutions were allowed to stand for several hours at room temperature before acidification, the binuclear salt 32 was formed instead. The course of this change is depicted below. The formation of a bicyclic complex does not occur with acyclic epoxides or with cyclohexene or cycloheptene epoxide. It

appears that rearrangement is confined to cyclic epoxides, such as cyclopentene epoxide, in which the intermediate ( $\beta$ -oxidoalkyl)Fp complex exists preferentially in the acyclic form 30. Lithium ion may then promote migratory insertions in the compound, and the resulting coordinatively unsaturated complex is then activated for nucleophilic addition by FpLi and closure to the penultimate bridged species 31. A more general method for the preparation of such cationic bridging carbyne complexes was found in the reaction of alkyl or aryllithium reagents with Fp<sub>2</sub>, followed by acidification. Cations 32 and 33 represent the first examples of cationic binuclear carbyne complexes.

(R=Me, n-Bu, Ph)

Cationic Fp(allene) complexes 34 provided us with interestingly mobile systems, whose fluxional behavior resembled that of tetramethylalleneiron tetracarbonyl, examined earlier by Ben Shoshan and Pettit [35]. Metal coordination to the ligand in these complexes appears to be significantly stronger than in simple olefin complexes, as indicated by the shorter metal bond to the internal carbon center in the  $Fp(\eta^2$ -tetramethylallene) cation [36,37]. As a consequence, the exchange reaction of allenes with  $Fp(\eta^2$ -isobutylene)BF<sub>4</sub> serves as a convenient, high yield method for preparing these complexes [37]. In this way, the parent complex as well as several methylated  $Fp(\eta^2$ -alkene)complexes were prepared. Two dynamic processes are evident in these complexes, which are best exemplified in the behavior of the tetramethylallene complex, summarized in Scheme 4.

At -60°C the proton NMR spectrum of this complex exhibits four singlet resonances. Two of these, assigned to methyl groups 1 and 2 in 34a,b collapse above -25°C, due to averaging through rotation of the ligand about the metal-olefin bond. At higher temperatures, averaging of the remaining pair of methyl groups among themselves and with the other pair, takes place through a 1,2-shift. The activaton energies for these two processes (12.7 and 16.3 kcal mol<sup>-1</sup>) are sufficiently different so that one is rapid on the NMR time scale before appreciable onset of the second. Further experiments showed that the 1,2-shift takes place by a non-dissociative mechanism.

Fluxional behavior has been observed in dinuclear systems, which we like to refer to as "teetertautomers", the first one of which, 35, was reported some years ago by King and Bisnette [38]. The infrared spectrum of this complex shows the presence of both neutral and cationic Fp groups, but the NMR spectrum shows a single proton

$$\stackrel{\downarrow}{\mathsf{Fp}} \qquad Fp \qquad Fp^{+} \qquad Fp^{+}$$

$$\stackrel{\downarrow}{\mathsf{Fp}} \qquad \qquad \mathsf{Fp} \qquad \mathsf{Fp}^{+} \qquad$$

resonance down to  $-90^{\circ}$ C [39]. Some charge dispersal in these cations, and hence increased thermodynamic stability, is evident from the fact that 36 can be prepared by exchange complexation with Fp( $\eta^2$ -isobutylene). The complex also shows thermal stability in nitromethane solution significantly greater than Fp( $\eta^2$ -isobutylene). The NMR spectrum of this compound, and those of the cyclic analogs 37 and 38, also

show Fp averaging, the latter even down to  $-110^{\circ}$ C. The dinuclear complex 39 shows very complex temperature-dependent NMR spectra behavior associated with both fluxional exchange of the two nonequivalent Fp centers as well as rotational isomerism about the framework C-C single and double bonds [40]. Doubtless many more such conjugated, fluxional  $\sigma$ , $\pi$ -systems, both homo, and heteronuclear are constructable.

### Acetylene complexes

Compared with the  $Fp(\eta^2\text{-olefin})$  complexes, the corresponding acetylene complexes have been less extensively studied. The first such cation, 41, was prepared by protonation of 40 at low temperature. Rearrangement of 41 to the vinylidene complex 42 is suggested by the fact that hydrolysis, which takes place rapidly at 0°C, gives a mixture of ketones, expected from 41 and 42.

$$F_{p} = \frac{H^{+}}{-78^{\circ}C} + F_{p} \longrightarrow F_{p} = \frac{0^{\circ}C}{H} + F_{p} \longrightarrow F_{p} + F_{p} \longrightarrow F_{p} + F_{p} \longrightarrow F_{p} + F_{p} \longrightarrow F_{p} \longrightarrow$$

A more general method for the synthesis of stabilized carboxonium ions derived from cations such as 42, consists simply in carrying out the  $\mathrm{Fp}^+$  cation exchange reaction with  $\mathrm{Fp}(\eta^2\text{-isobutylene})\mathrm{BF_4}$  and a terminal acetylene in the presence of an alcohol [41]. In this way complexes such as 43a,b,c are readily obtained. The

FP— + RC=CH 
$$\xrightarrow{\text{CH}_2\text{Cl}_2}$$
 FP— H  $\xrightarrow{\text{FP}}$  H  $\xrightarrow{\text{EtO}}$  R  $\xrightarrow{\text{FP}}$  H  $\xrightarrow{\text$ 

rearrangement of the acetylene complex to the vinylidene complex in this sequence is intramolecular, since when it is carried out in EtOD only one deuterium is incorporated  $\alpha$ - to the carboxonium ion in the product [42]. Concurrent complexation and alcoholysis cannot be applied to internal acetylenes, but the 3-hexyne complex 44, prepared by the exchange reaction with 24, can be converted into a 5/1 mixture of 45a and 46b by subsequent treatment with methanol. With 3-hexyne-1-ol, exchange complexation and intramolecular alcohol addition take place, to give the dihydrofuran complex 46.

It is apparent from the above results that  $Fp(\eta^2$ -acetylene) complexes are highly reactive electrophiles, in many respects more reactive than the corresponding olefin complexes. One measure of this is to be seen in the formation of 2-phenylnaph-thalene when the exchange reaction of  $Fp(\eta^2$ -isobutylene) is carried out with phenylacetylene. The reaction is mildly catalytic in  $Fp(\eta^2$ -isobutylene), and the reactive species is apparently the unrearranged terminal acetylene complex 49, not the isomeric vinylidene cation, since with 1-deuteriophenylacetylene the product is found to be labelled only at C(1) and C(3) [43].

+ 
$$PhC \equiv CH$$
  $CH_2Cl_2$   $PhC \not\equiv CH$   $PhC_2H$   $P$ 

The precise mode by which the product is formed has not been defined, but it may involve electrophilic attack of 49 on uncomplexed phenylacetylene.

In contrast to olefins substituted by electron-withdrawing groups, which do not yield Fp complexes by the exchange reaction, propiolic esters are apparently complexed in this reaction. These complexes have not been isolated, but their formation may be reasonably inferred from the products of the reaction. Thus, in the presence of ethanol, exchange complexation of methyl propiolate gives methyl rans-3-ethoxyacrylate, in a reaction which is catalytic in  $Fp(\eta^2$ -isobutylene)BF<sub>4</sub> [41]

$$= -\text{COOMe} + \overrightarrow{\mathsf{Fp}} + \overrightarrow{\mathsf{EtO}} \qquad \underbrace{\mathsf{EtOH}}_{\mathsf{CH_2Cl_2}} \qquad \underbrace{\mathsf{EtOH}}_{\mathsf{Fp}} = -\text{COOMe} \qquad \underbrace{\mathsf{EtOH}}_{\mathsf{Fp}} = -\text{COOMe}$$

When the reaction partner is an olefin, for which complexation by Fp<sup>+</sup> is unfavorable, *trans* addition of the olefin to the cationic complexed acetylenic ester 50 yields 51. Three courses of reaction are apparently open to this intermediate, depending on the structure of the olefin. These are summarized in Scheme 5. With 1,1-disubstituted olefins, closure of cation 51 through the ester carbonyl, yields the

SCHEME 5

metal stabilized methylated lactone cation 52. Alternatively, proton transfer from 51, gives the metal stabilized cation 53, and thence the diene 54, in a reaction catalytic in  $Fp(\eta^2\text{-isobutylene})BF_4$ . Finally, 51 may cyclize to the metal stabilized cyclobutyl cation 55 and then lose  $Fp^+$  to give a cyclobutene. As would be expected, the formation of this product is also catalytic in  $Fp(\eta^2\text{-isobutylene})BF_4$ . These latter two products are observed to form with cyclic and 1,2-disubstituted products. With acyclic olefins, closure of 51 to the cyclobutenes or transformation to 54 takes place with retention of stereochemistry [44].

### Reactions of Fp( $\eta^2$ -olefin) cations

The reactions of  $\text{Fp}(\eta^2\text{-olefin})$  cations with nucleophiles and the subsequent chemical transformation of these adducts constitutes an area rich in synthetic potential, which is currently an important focus of our work. We recognized very early in our research that  $\text{Fp}(\eta^2\text{-olefin})$  cations should be suceptible to nucleophilic attack. Indeed the two-step mechanism proposed initially for the cycloaddition reactions of  $(\eta^1\text{-allyl})\text{Fp}$  complexes presupposed such a reaction, and ample precedent existed at the time for nucleophile addition to polyene- and polyenyl-metal systems [45].

SCHEME 6

Since the  $\operatorname{Fp}(\eta^2$ -olefin) cation represents a coordinatively saturated 18-electron complex, nucleophile addition to the olefin ligand cannot occur intramolecularly by a path involving prior coordination of the reagent to the metal, but must occur intermolecularly through addition *trans* to the metal-olefin bond. Thus far no exceptions to this generalization have been observed [46].

In the absence of strongly polarizing substituents on the coordinated olefin ligand, the regioselectivity of nucleophile addition to unsymmetrically substituted olefins is generally low. This is illustrated by the reactions of  $\text{Fp}(\eta^2\text{-propene})BF_4$  with malonate, acetoacetate, cyanoacetate or cyclohexanone pyrrolidine enamine, which yield mixtures of regioisomeric adducts. By contrast, the addition of such heteroatomic nucleophiles as amines and methanol, but not thiols, to this cation takes place with high regioselectivity at the more highly substituted carbon center, in analogy to the reactions of bromonium ions with such nucleophiles. These two reactions of  $\text{Fp}(\eta^2\text{-olefin})$  cations may not, however, be mechanistically comparable, since the first is likely to be kinetically controlled, while there is some evidence that with amines, methanol and phosphines the additions are reversible, and consequently under thermodynamic control [47].

The addition of 1°-amines or ammonia to Fp(olefin) cations provides the first step in a useful synthesis of mono- and bicyclic  $\beta$ -lactams. This is outlined below [48].

The transformation of the (β-aminoalkyl)Fp adduct may be accomplished in two steps, by thermal rearrangement to chelate 56, followed by oxidation with PbO<sub>2</sub>, AgO or air. Alternatively direct oxidation of 55 has been observed to give the β-lactam as well. These reactions were believed to proceed through the 17-electron chelate 59, formed either from 56 directly, or through acceleration of the migratory insertion reaction in the intermediate 58. More recent work by Giering [49] now suggests that the isomeric amido-chelate 60, formed either from 59 or directly from 58, is the true precursor of the β-lactam (see Scheme 6).

A simple permutation of the basic sequence, using  $\omega$ -amino 1-alkenes 61a,b. permits construction of bicyclic  $\beta$ -lactams 62a,b. The amino group is first protected against attack by the Fp cation, in the exchange reaction, by protonation. Exposure of the free amino group, results in spontaneous nucleophilic addition and the resulting products are then oxidatively transformed to  $\beta$ -lactams.

$$(CH_{2})_{n}^{NH_{2}} - (CH_{2})_{n}^{NH_{3}} - 24 \qquad Fp \qquad (CH_{2})_{n}^{NH_{3}} - (CH_{2})_{n}^{NH_{$$

Construction of the pyrrolidine ring in the bicyclic  $\beta$ -lactam may alternatively be achieved using a keto-olefin as starting material, as shown for the synthesis of **64** 

from 63a [50]. An analogous sequence carried out on the pyruvic ester 63b gave the bicyclic  $\beta$ -lactam 65 as a mixture of stereoisomers.

The use of this chemistry in the elaboration of  $\beta$ -lactams of pharmacological importance remains a subject of continued interest in our laboratories.

2. Δ, CH<sub>3</sub>CN

 $(\eta^1\text{-Allyl})\text{Fp}$  complexes were among those nucleophiles which we considered, early in our research, as possible reaction partners for  $\text{Fp}(\eta^2\text{-olefin})$  cations. The parent complexes condense readily at room temperature, affording the dinuclear product 66, which may be selectively degraded or transformed as shown below. Since  $(\eta^1\text{-allyl})\text{Fp}$  complexes are only mild nucleophiles, this form of condensation appears to be confined to the more reactive of  $\text{Fp}(\eta^2\text{-olefin})$  cations. Thus, 3

FP 
$$+ FP \rightarrow |$$

(3)

$$| CH_3NO_2 \\
25^{\circ}C \\
CH_2Cl_2, 25^{\circ}C \\
| Et_3N, CH_2Cl_2, 25^{\circ}C \\
| FP \rightarrow FP + FP \rightarrow FP \\
| FP \rightarrow FP + FP \rightarrow FP \\
| FP \rightarrow FP + FP \rightarrow FP \\
| FP \rightarrow FP + FP \rightarrow FP \\
| FP \rightarrow FP + FP \rightarrow FP \\
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condenses with both Fp( $\eta^2$ -propene) and Fp( $\eta^2$ -styrene) cations at room temperature to give mixtures of regioisomeric products 67 and 68, and ( $\eta^1$ -cyclopentenyl)Fp condenses with Fp( $\eta^2$ -ethylene)BF<sub>4</sub> to give 69, but no reaction occurs between 3 and Fp( $\eta^2$ -cyclopentene)BF<sub>4</sub>.

As might be anticipated,  $Fp(\eta^2$ -olefin) cations bearing an electron-withdrawing function, such as **70a,b** or **c** are better acceptor components in these reactions, which

generally proceed rapidly at 0°C. In addition, the products 71b and 71c, which possess two chiral centers, are apparently formed with high diastereoselection, a result perhaps better accounted for by a *gauche*-configuration 72a for the transition state complex rather than the *anti*-configuration 72b.

With  $\tilde{Pp}(\eta^2$ -butadiene)BF<sub>4</sub> (73) as acceptor component, reaction of 3 allows sequential condensations leading to cyclized products 77 and 78. The formation of intermediate 75 may be accounted for either as a result of nucleophile addition to C(1) of the diene complex, followed by sigmatropic rearrangement, or by conjugate addition at C(4). This intermediate may also be prepared by monodeprotonation of the 1,6-heptadiene complex 76, and it is noteworthy that the *trans*-stereochemistry of the cyclized product 78 can be accounted for only in terms of a *gauche* transition state conformation 79a. However, models suggest that the corresponding *anti* transition state configuration 79b may be relatively strained.

$$F_{p} \longrightarrow F_{p} \longrightarrow F_{p$$

These results, in particular the generation of 75 from 76, prompted us to examine the reactions of homologous diene complexes. Monodeprotonation of the diene complexes 80 and 81, followed by selective demetallation of the dinuclear products with iodide, was shown to give a mixture of cyclizátion products 82–86.

Since the deprotonation step in these reactions is expected to give mixtures of cis and trans ( $\eta^1$ -allyl)Fp type intermediates (cf. 75), we were led to examine the possible relationship between geometrical isomerism in these intermediates and the product stereochemistry [53]. The requisite cis and trans monocationic dinuclear complexes 89 and 90 were prepared by monoprotonation of the corresponding cis, cis- or trans, trans-bis( $\eta^1$ -allyl)Fp complexes 87 and 88. Each of these complexes showed a significant, although incomplete correlation of product stereochemistry with geometrical isomerism in the dienes. Complex 88a gave principally 82, while the cis-isomer 87 gave significant amounts of 83 with 82. Similarly 88b gave 85 and 86 in a 2/1 ratio but 87b gave these isomers in a 1/3 ratio. The latter ratios represent minimum stereoselectivities, since recovered diene complexes 87b and 88b were observed to be substantially isomerized.

$$Fp \longrightarrow (CH_2)_n \longrightarrow Fp \longrightarrow Fp \longrightarrow (CH_2) \longrightarrow Fp$$

(87)

(a,  $n = 2$ ;
b,  $n = 3$ )

(B8)

(a,  $n = 2$ ;
b,  $n = 3$ )

(B8)

(a,  $n = 2$ ;
b,  $n = 3$ )

The factors affecting the stereoselectivity of cyclization are not defined, but it may be noted, that if proton transfer to 87 and 88 is assumed to occur preferentially to an extended form of these complexes, so as to allow for electrophile interaction with both double bonds, then isomeric gauche-configurations of a transition complex would be generated and would lead respectively to cis and trans cyclization products. These questions, and the possible elaboration of such metal assisted cyclizations in a synthetic context, remain to be examined.

We return now to the general theme with which this last section was begunnamely the addition of nucleophiles, especially carbon nucleophiles, to  $Fp(\eta^2\text{-olefin})$  cations. The low regioselectivity of these reactions with alkyl-substituted olefin complexes prompted us to examine the reactions of more highly polarized  $Fp(\eta^2\text{-olefin})$  olefin) cations. An example of such a complex is provided by **91**. This substance, prepared from methyl vinyl ketone epoxide (Scheme 3) has been used as a Michael component in reactions with kinetically generated enolates. Its use, illustrated below, for 3-methylcyclohexanone enolate constitutes a solution to the problem of polymerization of the acceptor component when Michael reactions are carried out in aprotic media. The sequence also illustrates the ease with which an Fp group adjacent to a carbonyl group may be removed by base, much as similarly positioned  $R_3$ Si groups undergo displacement by base.

Fp complexes of vinyl ethers constitute a second class of  $Fp(\eta^2\text{-olefin})$  cations rich in synthetic potential. These complexes may be prepared from  $\alpha$ -bromoacetals or ketals through the sequence outlined below [55]. An alternative synthesis, which makes use of Fp stabilized alkoxyl carbonium ions 43 and diazomethane or diazoalkanes, has not been widely examined [42]. The exchange reaction of Fp(iso-

butylene)BF<sub>4</sub> (24) cannot be used to prepare  $Fp(\eta^2\text{-vinyl ether})BF_4$  complexes since the strongly electrophilic Fp cation induces polymerization of simple vinyl ethers. However 24 reacts with ethoxyacetylene in the presence of ethanol to give a ketene acetal complex [42].

+ HC = COEt 
$$CH_2CI_2$$
 OET  $F_p$  OET  $F_p$  OET

The synthetic value of  $Fp(\eta^2$ -vinyl ether) cations 92 derives from the high regionselectivity of their reactions with a broad range of both heteroatomic and carbon nucleophiles together with their capacity to function as vinyl cation equiv-

alents, as illustrated in the generalized reaction sequence shown below.

Both primary and secondary alcohols may also serve as nucleophiles in this sequence, so that dissolution of a methyl alkenyl ether complex in the presence of an excess of such an alcohol effects rapid exchange of the alkyl group, as illustrated by the conversion of the methyl i-propenyl ether complex 93 into the neopentyl complex 94.

Free enol complexes are also preparable by hydrolysis of the vinyl ether complexes (an instantaneous reaction at room temperature) followed by treatment of the free aldehyde or ketone complex with  $\mathrm{HBF_4} \cdot \mathrm{Et}_2\mathrm{O}$ . Not surprisingly, these cationic enol complexes are strong acids. That derived from acetaldehyde 95 has a p $K_a$  in aqueous sulfuric acid of -0.75, and undergoes rapid etherification to give 96 on dissolution in ethanol.

$$F_{p}$$
 $F_{p}$ 
 $F_{p}$ 

The distortion in the metal-olefin bonding in these complexes, which is implicit in canonical form 92', was confirmed by an X-ray crystallographic structure determination of Fp( $\eta^2$ -methyl vinyl ether) BF<sub>4</sub> (Fig. 1a) [56].

As might be anticipated, bonding dissymmetry in the related vinyl dimethylamino complex is further magnified (Fig. 1b). This complex lies closer to the  $\sigma$ -bonded structural form in the continuum of  $\sigma$ -alkyl to  $\pi$ -alkene bonding modes. Nevertheless, some olefinic character, and hence residual bonding with  $C_{\beta}$ , is evidenced in this complex by the short  $C_{\alpha}$ - $C_{\beta}$  bond length and an Fe-C-C-N torsional angle of 102.3°. The barrier to rotation about the  $C_{\alpha}$ - $C_{\beta}$  bond, 10.5 kcal mol<sup>-1</sup>, is also well above the value expected for a C-C single bond in the vinyl amine complex. The vinyl ether complexes show, as anticipated, higher C-C rotational barriers. These, determined either kinetically or by coalescence measurements, are summarized below.

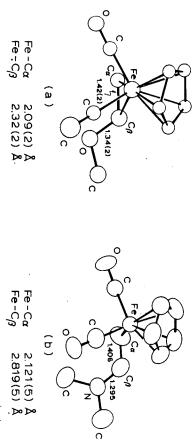


Fig. 1. Molecular structures: (a)  $(\eta^5 - C_5 H_5)$ Fe(CO)<sub>2</sub>(CH<sub>2</sub>CHOCH<sub>3</sub>) cation; (b)  $(\eta^5 - C_5 H_5)$ Fe(CO)<sub>2</sub>-[CH<sub>2</sub>CHN(CH<sub>3</sub>)<sub>2</sub>] cation.

An important chemical consequence of the relatively low rotation barrier in vinyl ether complexes is evident in the conversion of the metallated acetal 97 exclusively to the cis-propenyl ether ether complex 98. This result is the consequence of the greater thermodynamic stability of the cis-complex [58] together with a low energy path for its interconversion with the trans-isomer.

OEt 
$$H^+$$
 OEt  $F_{p^+}$  OEt  $F_{p^+}$   $F_{p^+$ 

The use of  $Fp(\eta^2$ -vinyl ether) cations in the vinylation of enolates has been examined for a number of cyclohexanone lithium enolates. Condensation of 96 with cyclohexanone lithium enolate yields a single diastereomer 99 [59], which is converted by protonation and demetallation into 2-vinylcyclohexanone. The initial formulation of the adduct as 99 was predicated on a postulated antiperiplanar configuration of reacting components in the transition state, in which the larger OR substituent is placed *exo* to the cyclohexanone ring, where additional stabilization

might be available through lithium ion chelation [59]. The gauche conformation g-99, which follows more closely the prescriptions adduced recently by Seebach and

(9-99)

(t-99)

Golinski [60] for classical condensation reactions, would yield the same diastereomer. Structure 99 has now been confirmed through reduction of the ketone to the cis-alcohol and conversion into the lactone 100, in which H<sub>a</sub>, H<sub>b</sub> are shown to be cis [61]. The lactonization step, which is based on the conversion of (alkyl)Fp

complexes into esters, a reaction first reported by Anderson, Fong and Johnson [62], probably proceeds through the cation radical 101, which undergoes rapid migratory insertion and subsequent nucleophilic attack by solvent alcohol. The migratory insertion step has been shown to be highly stereospecific, proceeding with retention of configuration at the migrating alkyl group [63].

Electrophilic addition to cyclohexanone enolates by Fp(vinyl ether) cations takes place axially, as indicated by the exclusive formation of the *trans*-2,6-disubstituted cyclohexanone adduct 102 from 6-methylcyclohexanone lithium enolate. Furthermore, this substance, like 99, is formed as a single diastereomer with the structure shown [61]. Its conversion through acid treatment and demetallation by warming in acetonitrile solution, give 103 as the only product.

Vinylation of 3-methylcyclohexanone lithium enolate occurs preferentially *trans*, to give the adduct as a mixture of diastereomers **104a** and **104b** [61] in a ratio of 3/1. The major diastereomer has recently been shown to have structure **104a** [61], and each adduct has been converted into *trans*-2-vinyl-3-methylcyclohexanone **105**.

Vinylation of enolates has also been effected with the propenyl ethyl ether complex **98** and the isomeric isopropenyl ethyl ether complex **106** [64]. The first introduces the vinyl group as a *trans*-propenyl substituent, owing to its *cis*-geometry and the successive *trans*-addition, *trans* elimination processes involved in its transformation to free olefins. The second serves as an isopropenyl synthon, and has been used in a short synthesis of isopiperitenone **107** from 3-methylcyclohexenone [64].

In a further elaboration of this chemistry, the vinyl ether complex 108 was prepared from pyruvic ester. This highly reactive cation, which functions as an

 $\alpha$ -acrylic ester cation equivalent, finds synthetic use in the synthesis of  $\alpha$ -methylene- $\gamma$ -lactones from carbonyl compounds [65]. With cyclohexanone, both *cis*- and *trans*-fused lactones **109** and **110** are preparable, as shown below.

An analogous sequence starting from methyl 3-oxohexadecanoate provides a synthesis of protolichesterinic acid ester 112, but here, because of the high reactivity of 108, a significant amount of o-alkylation product is formed in the first step along with 111 [66].

C<sub>13</sub>H<sub>27</sub> CCOOMe + 108 Feb COOMe + 108 Feb COOMe + 108 Feb COOMe + 108 Feb COOMe 
$$\frac{C_{13}H_{27}}{C_{13}H_{27}}$$

EtO C<sub>13</sub>H<sub>27</sub> Nu<sup>1</sup> Neocc (1111)

EtO Feb (112)

FPD COOMe  $\frac{C_{13}H_{27}}{C_{13}H_{27}}$ 

Neocc (1111)

EtO Feb (112)

Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Pp<sup>4</sup> Nu<sup>2</sup> Nu<sup>2</sup> Pp<sup>4</sup> Pp

The most recent additions to the series of vinyl ether complexes are represented by the *cis*-1,2-dialkoxy olefin cation 113, its cyclic analog 117, and the optically active complex 118. These give promise of being especially useful new synthons.

A brief account of the use of 113 as a vinylene dication was presented recently [57], and is summarized in Scheme 7. Complex 113a, which is prepared by exchange complexation with  $Fp(\eta^2\text{-isobutylene})BF_4$ , may be converted into 113b by brief stirring in ethanol and reprecipitation with ether. Such substitution may be advantageous in blocking nucleophilic attack at the alkyl center.

Cation 113 combines with a variety of nucleophiles including phenyl Grignard. lithium dimethylcuprate, and enolates. These additions and, subsequent acid promoted elimination from the neutral adduct 114 are trans-stereoselective. Consequently these steps provide a route to the thermodynamically unstable trans-alkenyl ether complexes 115. A second sequence of nucelophile addition, ethanol elimination, and demetalation yields the cis-1,2-disubstituted ethylene. If 115 is instead first allowed to isomerize, thermally or in the presence of ethanol at low temperature, to the cis-cation 116, this same sequence provides a route to trans-1,2-disubstituted ethylenes.

Our attention was now drawn to the possibility of converting the diastereoselective reactions of vinyl ether complexes, exemplified by the transformation of cyclohexanone enolates to adducts 99 and 102, into enantioselective processes. Since simple vinyl ether complexes, such as 96, are chiral, a method for preparing such complexes in optically active form was needed. The solution was simply to take advantage of the facile exchange of alkoxy groups and of the low rotational barrier about the putative double bond in these cations. If the exchanging alcohol is optically active then the product is a rapidly equilibrating mixture of diastereomers.

A number of primary and secondary optically active alcohols have been examined in this reaction, among them (+)- and (-)-menthol, (-)-borneol, (-)-isoborneol, (-)-myrtanol, (+)-2°-butanol, and (+)-methyl- $\beta$ -hydroxyl isobutyrate. Of these, the menthols give the highest ratio of diastereomers (4/1) [67]. Condensation of cyclohexanone lithium enolate with the diastereomeric mixture obtained from (-)-menthol, gave a 4/1 mixture of diastereomeric products, which were readily separated on alumina.

In order to determine the absolute configuration of this product we have turned to an examination of the circular dichroism spectra of the mixtures of diastereomeric vinyl ethers derived from the several optically active alcohols. These spectra exhibit three differential absorption peaks near 280, 380 and 480 nm, corresponding closely to absorption peaks or shoulders at 305, 380 and 440 nm in UV-VIS spectra of the salts. The CD spectra for (+)- and (-)-menthol vinyl ether complexes are shown in Fig. 2. As a useful index of absolute configuration at the complexed olefin face we have chosen the CD peak at 480 nm, furthest away in energy from any electronic transition associated with the alkyl group. The intensity of this peak for a number of diastereomeric mixtures has been shown to give a linear correlation with the ratio of diastereomers, as determined from <sup>13</sup>C NMR measurements [68].

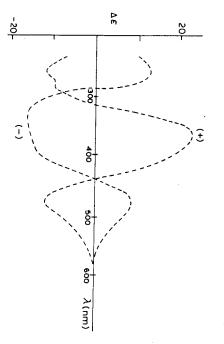


Fig. 2. CD spectra of  $\operatorname{Fp}(\eta^2\text{-}CH_2CHOR)^+$  BF<sub>4</sub> where R is (+)- or (-)-menthyl. Concentration is 1 mg/ml in  $\operatorname{CH}_2\operatorname{Cl}_2$  with a path length of 1 cm.  $\Delta\epsilon$  is in units of 1/mol·cm.

ether complex 120, with the absolute configuration shown, is formed. The substance enolate, Et, NCN and PhCH2SH. In all these reactions a single regionsomeric and complexes, enter successfully into addition reactions involving a range of nucleophilic diastereomers [69]. This complex, like its parent 117 and the simple vinyl ether the addition. When 119 (Nu = H) is opened with TMSOTf at  $-50^{\circ}$ C, the viny reagents, which include NaBH, CN, LiMeCuCN, PhMgBr, cyclohexanone lithium with the means of assigning absolute configurations to the simple vinyl ether hence stereoisomeric product 119 results, possible due to stereoelectronic control of The preparation of the cyclic, optically active complex 118 has now provided us

adduct with cyclohexanone enolate can therefore be represented by structure 122. absolute configuration shown in structure 121, and the absolute configuration of its measurements. Hence the major diastereomer present in this mixture must have the which is in accord with a 4/1 ratio of diastereomers determined by <sup>13</sup>C NMR vinyl ethers derived from 96 by exchange with (-)-menthol shows  $\Delta \epsilon_{480}$  +0.83. a differential absorption  $\Delta\epsilon$  at 480 nm of +1.34. The diastereomeric mixture of

carbonylation. These synthetic prospects among others await examination nucleophiles to these cations, as depicted in part in Fig. 1, followed by oxidative substituted  $\beta$ -hydroxy propionic acids 123 through successive additions of two materials for the enantio-controlled synthesis of all four optical isomers of  $\alpha,\beta$ -di-In principle, complex 118 and its (S,S)-enantiomer should serve as useful starting

### **Summation and Acknowledgement**

sense this review is dedicated to all of them, whose names appear in the list of of graduate students and postdoctoral associates who during these years carried out associate. If it has grown and prospered since, this is largely due to the hardy band observation made by Warren Giering, then working with me as a postdoctoral the experiments and contributed the ideas which gave it sustenance. In a very real This research had its beginnings some fifteen years ago in a chance, but important

> Health, which have abundantly supported this research over the years. I am indebted to the National Science Foundation and the National Institutes of

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### STEREOCHEMISTRY (

### 20 YEARS OF PROGRE

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### **Introduction and Scope**

Metallocenes - highly a ruthenocene, cymantrene o still the most important rep [1], inspired many fields of chemistry. In addition to a consequently high reactivit theoretical implications and molecular ("sandwich") ge stereochemical research. As positional and geometrical instance, unsymmetrically 1  $C_1$  symmetry and are there ferrocene into its enantiome phenomena in our laborar metallocene (asymmetry) ch istry in 1964 [3], was soon a

The term metallocene chi to specify this new molecul Prelog and the late Dr. Cahi ing to the rules for centroch appropriate, as shown in the authors still prefer to classify

Nevertheless, and apart metallocenes of various type omers in our laboratory since their relative and absolute co and their chiroptical prope Passed, however, since the